



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/732,958	12/08/2000	Ridwan Sartiono	11150/27	2788

26646 7590 03/14/2005

KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

EXAMINER
----------

GARCIA OTERO, EDUARDO

ART UNIT	PAPER NUMBER
----------	--------------

2123

DATE MAILED: 03/14/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Advisory Action</b> <b>Before the Filing of an Appeal Brief</b>	<b>Application No.</b> 09/732,958	<b>Applicant(s)</b> SARTIONO ET AL.	
	<b>Examiner</b> Eduardo Garcia-Otero	<b>Art Unit</b> 2123	

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

THE REPLY FILED \_\_\_\_\_ FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☐ The reply was filed after a final rejection, but prior to filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☐ The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.  
 b) ☒ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### NOTICE OF APPEAL

2. ☐ The reply was filed after the date of filing a Notice of Appeal, but prior to the date of filing an appeal brief. The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

#### AMENDMENTS

3. ☐ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because  
 (a) ☐ They raise new issues that would require further consideration and/or search (see NOTE below);  
 (b) ☐ They raise the issue of new matter (see NOTE below);  
 (c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or  
 (d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: \_\_\_\_\_. (See 37 CFR 1.116 and 41.33(a)).

4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  
 5. ☐ Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.  
 6. ☐ Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  
 7. ☒ For purposes of appeal, the proposed amendment(s): a) ☒ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  
 The status of the claim(s) is (or will be) as follows:  
 Claim(s) allowed: \_\_\_\_\_.  
 Claim(s) objected to: \_\_\_\_\_.  
 Claim(s) rejected: 1-23.  
 Claim(s) withdrawn from consideration: \_\_\_\_\_.

#### AFFIDAVIT OR OTHER EVIDENCE

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).  
 9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).  
 10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

#### REQUEST FOR RECONSIDERATION/OTHER

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because: see attachment.  
 12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). \_\_\_\_\_.  
 13. ☐ Other: \_\_\_\_\_.

## **ADVISORY ACTION**

### ***Introduction***

1. Title is: Design system and method for designing or constructing new parts.
2. First named inventor is: Sartiono.
3. Claims 1-23 have been submitted, examined, and rejected.
4. Priority is claimed to German application filed 12/10/1999.
5. Applicant's after final request for reconsideration was received 2/7/05.

### ***Index of Important Prior Art***

6. Foley refers to "Computer Graphics Principles and Practice" by James D. Foley et. al., Second Edition, 1996, ISBN 0-201-84840-6, pages 660-665. Note that Applicant has provided pages 660-663 in an information disclosure statement. However, pages 664-665 are provided by the Examiner in a PTO form 892.
7. AutoCAD14 refers to "AutoCAD Release 14 User's Guide" by Autodesk, 1998, table of contents pages iii to xvi, and Glossary pages 737-748, and Index pages 749-799.
8. Xavier refers to US patent 6,407,748.

### ***Applicant's Remarks***

9. PRIOR ART. At Remarks page 2, Applicant asserts "nowhere... expressly state that anything mentioned therein is in the prior art". The Examiner directs the Applicant to disclosed by specification page 1 line 9-14 "Numerous CAx systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to use design systems, which include a plurality of CAx systems and a central data base connected to the CAx systems, since, in order to plan a design unit, several CAx systems are often used within a company, for various design areas that must be coordinated with each other".
10. The Examiner agrees that the term "prior art" is not expressly used. However, the term "Numerous CAx systems... presently exit. Similarly, it is conventional..." appears to be an admission of prior art. See MPEP 608.01(c) "The Background of the Invention.. describing to the extend practical the state of the prior art... the problems involved in the prior art ... which are solved by the applicants invention". Applicant's traversals regarding this admission are mere bald traversals that do not suggest any other reasonable interpretation.

Art Unit: 2123

Further, denying the existence of CAx systems as of the priority date of 12/10/1999 appears frivolous.

11. REGARDING CLAIM 1. At Remarks page 3, Applicant asserts “there is no reference in these sections [Foley] to a design space environment formed by selected preexisting parts...” This assertion is not clear. Certainly Foley discloses multiple bounding volumes, which bound objects and are used to determine collisions. It is not clear whether Applicant intends the term “design space” to be the bounding volume, or to have a broader meaning as a large volume (design space) which contains multiple bounding volumes inside of it. In either case, Foley discloses both definitions. Also see the discussion in the background regarding collision testing. It appears that the claim and the specification use the term “design space” as having either of the above meanings, depending upon the context. See the Claim Interpretation section below.

12. REGARDING CLAIM 11. At Remarks page 3, Applicant asserts that the prior art does not disclose “displaying preexisting parts in a correct position...”. This is not persuasive, and is disclosed by “repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”

#### ***Claim Interpretation***

13. The claim language is interpreted in light of the specification. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

14. First, claim 1 term “CAx” is defined as “CAD, CAE, or CAM systems” at specification page 1, also see page 5. For simplicity, in the rejections the Examiner will simply use the term “CAD” broadly as referring to CAD or CAE or CAM.

15. Second, the claim 1 term “**overlap and border**” is interpreted as “that overlap and/or border”. See specification page 2 line 13 “overlap or border”. Further, said term “overlap and border” is interpreted as equivalent to Foley’s term “intersection test” at page 662, where adjacency is included in the intersection calculation (“ $o \leq b$ ”)

16. Third, the claim 1 term “**design space**” is interpreted as either “bounding volume” per Foley page 660 (“Extants can be used as in Chapter 7 to surround the objects themselves rather than their projections: in this case the extants become solids and are also known as *bounding*

Art Unit: 2123

volumes”), or as “spacial partitions” per Foley age 664 that states “*Spacial partitioning* (also known as *spacial subdivision*) allows us to break down a large problem into a number of smaller ones. The basic approach is to assign objects or their projections to spacially coherent groups as a preprocessing step. For example, we can divide the projection plane with a regular 2D rectangular grid and determine in which grid spaces each object’s projection lies. Projections need to be compared for overlap with only those other projections that fall within their grid boxes... 3D grid... The process of determining which objects intersect with a projector can then be sped up by first determining which partitions the projector intersection, and then testing only the objects lying within those partitions (Sections 15.10). If the objects being depicted are unequally distributed in space, it may be more efficient to use adaptive partitioning, in which the size of each partition varies.”

17. Fourth, the claim 1 term “**data circuit**” is interpreted broadly as including standard communication hardware, related software, and related interfaces. For example, including data bus, local area networks, and Internet.
18. Said interpretations are maintained throughout the claims.

***Claim Rejections - 35 USC § 103***

19. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action: (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
20. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows: Determining the scope and contents of the prior art. Ascertaining the differences between the prior art and the claims at issue. Resolving the level of ordinary skill in the pertinent art. Considering objective evidence present in the application indicating obviousness or nonobviousness.
21. Claims 1-23 are rejected under 35 U.S.C. 103(a) as being unpatentable.
22. Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant’s Admissions in view of Foley.

Art Unit: 2123

23. Independent claim 1 is “design system” claim with 8 limitations, numbered by the Examiner for clarity.
24. [1]-“**at least one CAX system**” is disclosed by Applicant’s Admission specification page 1 line 9-14 “Numerous CAX systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to use design systems, which include a plurality of CAX systems and a central data base connected to the CAX systems, since, in order to plan a design unit, several CAX systems are often used within a company, for various design areas that must be coordinated with each other”.
25. **Regarding admissions**, MPEP § 2129 states “When applicant states that something is prior art, it is taken as being available as prior art against the claims”. *In re Nomiya*, 509 F.2d 566, 184 USPQ 607, 611 (CCPA 1975) states “admissions...may be considered “prior art” for any purpose, including use as evidence of obviousness under § 103”. *Constant v. Advanced Micro-Devices*, 848 F.2d 1560, 1570, 7 USPQ2d 1057, 1063 (Fed. Cir. 1988), “[Applicant’s] own admission during prosecution...is binding upon him”. Additionally, U.S. Patent and Trademark Office (USPTO), Formulating and Communicating Rejections Under 35 U.S.C. 1037 (Feb. 13, 1991) states when relying on an admission as evidence of obviousness, moreover, it is unnecessary to cite a corroborating reference to support the admission. Also see 37 C.F.R. § 1.104(c)(3).
26. [2]-“**at least one central data base connected to the at least one CAX system via a data circuit configured to exchange data**” is disclosed by Applicant’s Admission specification page 1 line 15-26 “... If an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing...”.
27. Note that the saving in a “vehicle data base for collision testing” implies saving from CAD system X via a data circuit or data bus to the vehicle data base.
28. [3]-“**an input device configured to define a design space for a part to be designed and to design the part to be designed in the design space**” is disclosed by Applicant’s Admission specification page 1 line 15-26 “In the field of vehicle construction, using a vehicle as a

Art Unit: 2123

design unit, a first CAD system Y can thus, for instance, be used in the body design, and a second CAD system X can be used in the engine and chassis design...".

29. Note that "If an engine part is designed in CAD system X... If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X" implies that the CAD system has input devices, and said input devices define the part and define the location of the part.
30. [4]-**"a display device configured to display the design space, a design-space environment and parts"** is disclosed by Applicant's Admission specification page 1 line 15-26 "... If an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present..."
31. Note that it is CAD systems implicitly display the design space, environment, and parts in order to visually examine the area of collision.
32. [5]-**"a copying device configured to copy the design space to the central data base"** is disclosed by Applicant's Admission specification page 1 line 15-26 "... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the formant of CAD system Y, and saved in a vehicle data base for collision testing".
33. [6]-**"the central data base is configured to access the preexisting parts of at least one design unit"** is disclosed by Applicant's Admission specification page 1 line 15-26 "... saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X".
34. [8]-**"a copying device configured to copy to the CAx system as a design-space environment the selected parts with data representing a position of the selected parts relative to the design space"** is disclosed by Applicant's Admission specification page 1

Art Unit: 2123

line 15-26 "... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...".

35. Note that claim 1 appears to intend to perform the intersection calculations in one of the originating CAx systems (CAD system X format in Applicant's example), rather than in the overall vehicle data base CAx system (CAD system Y format in Applicant's example). However, Applicant's Admission discloses facile and apparently automatic translation among the system formats using ISO 10303. Performing said intersection calculations in CAD system X rather than CAD system Y appears to be merely changing the location (or CAD system) of the calculations, and does not appear to be invention. *In re Japikse*, 181 F.2d 1019, 86 USPQ 70, 73 (CCPA 1950) states "no invention in shifting the starting switch disclosed by Cannon to a different position since the operation of the device would not thereby be modified", and *In re Kuhle*, 526 F.2d 553, 188 USPQ 7 (CCPA 1975) states "the particular placement provided no novel or unexpected result". See also MPEP § 2144.04(VI)(C). In this claim, changing the location or format of the intersection calculations does not modify the operation, does not provide novel results, and does not provide unexpected results.
36. The remaining limitation is not expressly disclosed by Applicant's Admissions.
37. [7]-**"a selection device configured to select the preexisting parts of the design unit that at least one of overlap and border on the design space of the part to be designed and to select the preexisting parts, the design spaces of which at least one of overlap and border on the design space of the part to be designed"** is disclosed by Foley page 660 "bounding volumes", and page 664 "*Spacial partitioning* (also known as *spacial subdivision*) allows us to break down a large problem into a number of smaller ones. The basic approach is to assign objects or their projections to spacially coherent groups as a preprocessing step.



Art Unit: 2123

For example, we can divide the projection plane with a regular 2D rectangular grid and determine in which grid spaces each object's projection lies. Projections need to be compared for overlap with only those other projections that fall within their grid boxes... 3D grid... The process of determining which objects intersect with a projector can then be sped up by first determining which partitions the projector intersection, and then testing only the objects lying within those partitions (Sections 15.10). If the objects being depicted are unequally distributed in space, it may be more efficient to use adaptive partitioning, in which the size of each partition varies." And is also disclosed by Foley FIG 15.8 "Hierarchy can be used to restrict the number of object comparisons needed." Hierarchy is a form of spacial subdivision.

38. **At the time** the invention was made, it would have been obvious to a person of ordinary skill in the art to use Foley to modify Applicant's Admission. One of ordinary skill in the art would have been motivated to do this in order to "sped up" the intersection or collision calculation by "testing only the objects lying within those partitions" per Foley page 664. All objects lying outside of the partitions of interest (or "design spaces" using Applicant's terminology) are excluded from further consideration and calculations.
39. Note that Foley's "spacial partitions" from page 664 may also be used simultaneously with Foley's "bounding volumes" from page 660. In other words, the whole design universe may be subdivided into "spacial partitions" (fixed), and/or specific entities may be enclosed in "bounding volumes" (mobile).
40. Claim 1 is ambiguous regarding whether a specific design entity (the part being designed) is enclosed by a fixed "spacial partition", or is enclosed by an associated mobile "bounding volume" which moves as the specific design entity moves. In virtual reality, typically the part which is moving is enclosed by an associated mobile and similarly moving "bounding volume", and collision detection/avoidance calculations are performed only against other entities in intersecting "bounding volumes" or against other entities in intersecting "spacial partitions". Thus, claim 1 is interpreted broadly as claiming either fixed "spacial partitions" or mobile "bounding volumes".
41. Claims 2-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's Admissions in view of Foley.

Art Unit: 2123

42. Claims 2-10 depend from claim 1 directly or indirectly.

43. In claim 2, there are 3 limitations:

44. [1]-**“the central data base includes a storage device configured to store data representing a finished part”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing”.

45. [3]-**“data representing an installation position of the finished part, the finished part being designated in accordance with the CAx system”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the formant of CAD system Y, and saved in a vehicle data base for collision testing”.

46. The remaining limitation is not expressly disclosed by Applicant’s Admissions.

47. [2]-**“data representing the design space of the finished part”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”.

48. In claim 3, **“the CAx system includes a storage device configured to store data representing a design-space environment corresponding to the part to be designed”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”.

49. In claim 4, **“organizational data related to the parts of the design-space environment, the organizational data being selectively available to a user and including, for each part of the design-space environment, data representing at least one of a number, a part number, a version number, a designation, a status and a note”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data

Art Unit: 2123

model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing”.

50. In claim 5, **“the status includes at least one of a new status and a modified status”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing”. Note that CAD systems generally track and document modifications to the part, and that lack of any modifications indicates a new status. Similarly, said status may be explicitly displayed as a distinct attribute.
51. In claim 6, there are 8 limitations:
52. [7]-**“a name of a file of a design-space-environment assembly”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing”.
53. [8]-**“data representing the parts of the design-space environment in a format used by the CAX system”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing”.
54. The remaining limitations are not expressly disclosed by Applicant’s Admissions.
55. [1]-**“design-space-environmental data assigned to a part”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”. Note that “Hierarchy” is a form of organization, and implies that a file contains organizational data regarding what objects are in what spacial partitions (similar to the way a road map typically contains an alphabetical list of the roads stating which map grids the road intersects: for example, B4, C4, C5).

56. [2]-**“a design-space-environment flag indicating whether a design space environment is stored for the part”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”. Note that “Hierarchy” is a form of organization, and implies that a file contains organizational data regarding what objects are in what spacial partitions (similar to the way a road map typically contains an alphabetical list of the roads stating which map grids the road intersects: for example, B4, C4, C5).
57. [3]-**“a name of a design-space-environment storage file assigned to a file that includes the data for the part to be designed”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”. Note that FIG 15.18 uses names for the spacial partitions: “Floor 1” and “Room 2”.
58. [4]-**“a name of a file that includes an organizational table containing the organizational data for the parts of the design-space environment”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”. Note that “Hierarchy” is a form of organization, and implies that a file contains organizational data regarding what objects are in what spacial partitions (similar to the way a road map typically contains an alphabetical list of the roads stating which map grids the road intersects: for example, B4, C4, C5).
59. [5]-**“the definition of the design space for the part to be designed”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”. Note that “Hierarchy” is a form of organization, and implies that a file contains organizational data regarding what objects are in what spacial partitions (similar to the way a road map typically contains an alphabetical list of the roads stating which map grids the road intersects: for example, B4, C4, C5).
60. [6]-**“a list of the design spaces for the parts of the design-space environment”** is disclosed by Foley page page 660 “bounding volumes” and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)” and page 665 “Hierarchy”. Note that “Hierarchy” is a form of organization, and implies that a file contains organizational data regarding what objects are in what spacial partitions (similar to the way a road map typically contains an

Art Unit: 2123

alphabetical list of the roads stating which map grids the road intersects: for example, B4, C4, C5.

61. In claim 7, **“the design system includes a plurality of CAx systems, each of the CAx systems being connected to the at least one central data base via the data circuit”** is
62. disclosed by Applicant’s Admission specification page 1 line 9-14 “Numerous CAx systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to use design systems, which include a plurality of CAx systems and a central data base connected to the CAx systems, since, in order to plan a design unit, several CAx systems are often used within a company, for various design areas that must be coordinated with each other”.
63. In claim 8, **“a plurality of central data bases, each of the central data bases being connected to the at least one CAx system via the data circuit, a first central data base being selectable via the CAx system used for the part to be designed”** is disclosed by Applicant’s Admission specification page 1 line 9-14 “Numerous CAx systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to use design systems, which include a plurality of CAx systems and a central data base connected to the CAx systems, since, in order to plan a design unit, several CAx systems are often used within a company, for various design areas that must be coordinated with each other”. Note that claim 8 appears to merely duplicate the single central data base of Applicant’s Admission. See *In re Harza* (legal precedent for duplication), 274 F.2d 669, 124 USPQ 378, 380 (CCPA 1960) which states “It is well settled that the mere duplication of parts has no patentable significance unless a new and unexpected result is produced”. Also see MPEP 2144.04(VI)(B). In this claim, duplicating the part does not produce any new result and does not produce any unexpected result.
64. In claim 9, **“an adapter connecting the data circuit and the at least one CAx system and an application programming interface connecting the data circuit and the at least one central data bus, wherein the adapter, the application programming interface and data circuit are configured to transmit data between the at least one CAx system and the at least one central data base”** is disclosed by Applicant’s Admission specification page 1 line

Art Unit: 2123

9-14 “Numerous CAx systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to use design systems, which include a plurality of CAx systems and a central data base connected to the CAx systems, since, in order to plan a design unit, several CAx systems are often used within a company, for various design areas that must be coordinated with each other”.

65. In claim 10, **“the design unit includes a motor vehicle”** is disclosed by Applicant’s Admission specification page 1 line 15 “field of vehicle construction”.
66. MOTIVATION FOR CLAIMS 2-10. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Foley to modify Applicant’s Admission. One of ordinary skill in the art would have been motivated to do this in order to “sped up” the intersection or collision calculations by “testing only the objects lying within those partitions” per Foley page 664. All objects lying outside of the partitions of interest (or “design spaces” using Applicant’s terminology) are excluded from further consideration and calculations.
67. Note that Foley’s “spacial partitions” from page 664 may also be used simultaneously with Foley’s “bounding volumes” from page 660. In other words, the whole design universe may be subdivided into “spacial partitions” (fixed), and/or specific entities may be enclosed in “bounding volumes” (often mobile). Additionally, note that Applicant’s Admission discloses “Numerous CAx systems”, and is interpreted broadly as disclosing standard CAD functions such as naming parts, positioning parts, defining relationships to other parts, storing data, displaying parts, and so forth to one of ordinary skill in the art.
68. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant’s Admissions in view of Foley.
69. Independent claim 1 is “method” claim with 4 limitations, labeled (a) through (d) by Applicant.
70. (a)-**“copying to the central data base a design space defined in the CAx system by a user and relating to a part to be designed”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product

Art Unit: 2123

Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.

71. **Regarding admissions**, MPEP § 2129 states “When applicant states that something is prior art, it is taken as being available as prior art against the claims”. *In re Nomiya*, 509 F.2d 566, 184 USPQ 607, 611 (CCPA 1975) states “admissions...may be considered “prior art” for any purpose, including use as evidence of obviousness under § 103”. *Constant v. Advanced Micro-Devices*, 848 F.2d 1560, 1570, 7 USPQ2d 1057, 1063 (Fed. Cir. 1988), “[Applicant’s] own admission during prosecution...is binding upon him”. Additionally, U.S. Patent and Trademark Office (USPTO), *Formulating and Communicating Rejections Under 35 U.S.C. 1037* (Feb. 13, 1991) states when relying on an admission as evidence of obviousness, moreover, it is unnecessary to cite a corroborating reference to support the admission. Also see 37 C.F.R. § 1.104(c)(3).
72. (c)-“**copying to the CAx system the determined preexisting parts and data representing a position of the predetermined preexisting parts relative to the design space of the part to be design**” is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.
73. (d)-“**displaying the determined preexisting parts in a correct position relative to the design space of the part to be designed as a design-space environment for designing the part to be designed**” is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently

Art Unit: 2123

converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.

74. Applicant’s Admission does not expressly disclose the remaining limitations.

75. (b)-**“determining, in accordance with the central data base, preexisting parts of the design unit that at least one of border on and overlap the design space of the part to be designed and preexisting parts having design spaces that at least one of border on and overlap the design space of the part to be designed”** is disclosed by Foley page 660 “bounding volumes”, and page 664 “*Spacial partitioning* (also known as *spacial subdivision*) allows us to break down a large problem into a number of smaller ones. The basic approach is to assign objects or their projections to spacially coherent groups as a preprocessing step. For example, we can divide the projection plane with a regular 2D rectangular grid and determine in which grid spaces each object’s projection lies. Projections need to be compared for overlap with only those other projections that fall within their grid boxes... 3D grid... The process of determining which objects intersect with a projector can then be sped up by first determining which partitions the projector intersection, and then testing only the objects lying within those partitions (Sections 15.10). If the objects being depicted are unequally distributed in space, it may be more efficient to use adaptive partitioning, in which the size of each partition varies.” And is also disclosed by Foley FIG 15.8 “Hierarchy can be used to restrict the number of object comparisons needed.” Hierarchy is a form of spacial subdivision.

76. **At the time** the invention was made, it would have been obvious to a person of ordinary skill in the art to use Foley to modify Applicant’s Admission. One of ordinary skill in the art would have been motivated to do this in order to “sped up” the intersection or collision calculation by “testing only the objects lying within those partitions” per Foley page 664. All



Art Unit: 2123

objects lying outside of the partitions of interest (or “design spaces” using Applicant’s terminology) are excluded from further consideration and calculations.

77. Note that Foley’s “spacial partitions” from page 664 may also be used simultaneously with Foley’s “bounding volumes” from page 660. In other words, the whole design universe may be subdivided into “spacial partitions” (fixed), and/or specific entities may be enclosed in “bounding volumes” (mobile).

78. Claim 11 is ambiguous regarding whether a specific design entity (the part being designed) is enclosed by a fixed “spacial partition”, or is enclosed by an associated mobile “bounding volume” which moves as the specific design entity moves. In virtual reality, typically the part which is moving is enclosed by an associated mobile and similarly moving “bounding volume”, and collision detection/avoidance calculations are performed only against other entities in intersecting “bounding volumes” or against other entities in intersecting “spacial partitions”. Thus, claim 11 is interpreted broadly as claiming either fixed “spacial partitions” or mobile “bounding volumes”.

79. Note that claim 11 appears to intend to perform the intersection calculations in one of the originating CAX systems (CAD system X format in Applicant’s example), rather than in the overall vehicle data base CAX system (CAD system Y format in Applicant’s example). However, Applicant’s Admission discloses facile and apparently automatic translation among the system formats using ISO 10303. Performing said intersection calculations in CAD system X rather than CAD system Y appears to be merely changing the location (or CAD system) of the calculations, and does not appear to be invention. *In re Japikse*, 181 F.2d 1019, 86 USPQ 70, 73 (CCPA 1950) states “no invention in shifting the starting switch disclosed by Cannon to a different position since the operation of the device would not thereby be modified”, and *In re Kuhle*, 526 F.2d 553, 188 USPQ 7 (CCPA 1975) states “the particular placement provided no novel or unexpected result”. See also MPEP § 2144.04(VI)(C). In this claim, changing the location or format of the intersection calculations does not modify the operation, does not provide novel results; and does not provide unexpected results.

80. Claims 12-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant’s Admissions in view of Foley.

Art Unit: 2123

81. Claims 12-23 depend from claim 12 directly or indirectly.
82. In claim 12, there are 4 limitations:
83. [2]-**“copying the initially determined design spaces to the CAx system”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.
84. [4]-**“copying one of (sic) in detail form and completely from the central data base to the CAx system preexisting parts contained within selected design spaces and in accordance with the size adjustment”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.
85. Applicant’s Admission does not expressly disclose the remaining limitations.
86. [1]-**“initially determining in the central data base the design spaces of preexisting parts that at least one of border on and overlap the design space of the part to be designed”** is disclosed by Foley page 660 “bounding volumes”, and page 664 “*Spacial partitioning* (also known as *spacial subdivision*) allows us to break down a large problem into a number of smaller ones. The basic approach is to assign objects or their projections to spacially coherent groups as a preprocessing step. For example, we can divide the projection plane with a regular 2D rectangular grid and determine in which grid spaces each object’s

projection lies. Projections need to be compared for overlap with only those other projections that fall within their grid boxes... 3D grid... The process of determining which objects intersect with a projector can then be sped up by first determining which partitions the projector intersection, and then testing only the objects lying within those partitions (Sections 15.10). If the objects being depicted are unequally distributed in space, it may be more efficient to use adaptive partitioning, in which the size of each partition varies.” And is also disclosed by Foley FIG 15.8 “Hierarchy can be used to restrict the number of object comparisons needed.” Hierarchy is a form of spacial subdivision.

87. [3]-“**copying to the central data base preexisting design spaces that have been selected by a user and preexisting design spaces that have been adjusted in size**” is disclosed by Foley page 660 “bounding volumes”, and page 664 “*Spacial partitioning* (also known as *spacial subdivision*)... If the objects being depicted are unequally distributed in space, it may be more efficient to use adaptive partitioning, in which the size of each partition varies.”
88. In claim 13, “**making the design-space environment visible and invisible in accordance with an input of the user while the user is designing the part to be designed**” is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems”. Hiding and/or layering are standard CAD functions. Similarly, storing data, updating, and displaying are also standard CAD functions.
89. In claim 14, “**storing data representing the design-space environment corresponding to the part to be designed with data representing the part to be designed**” is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”.
90. In claim 15, “**updating the design-space environment while designing the part to be designed**” is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”.
91. In claim 16, “**copying and updating only modified parts from the central data base to the CAx system in accordance with the design-space environment updating step**” is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”.

Art Unit: 2123

92. In claim 17, **“the design unit includes a motor vehicle, the central data base being configured to access the parts to a plurality of motor vehicles and wherein the method further comprises the step of requesting the design-space environment for a specific vehicle for the part to be designed”** is Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”.
93. In claim 18, there are 4 limitations:
94. [2]-**“determining at least one of the parts and the design spaces of the parts in the central data base in a design-unit coordinate system”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.
95. [3]-**“copying design spaces from the CAx system to the central data base to determine existing parts being transformed from the design-space coordinate system to the design-unit coordinate system”** is disclosed by Applicant’s Admission specification page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.
96. [4]-**“transforming the copied design spaces and preexisting parts from the design-unit coordinate system to the design-space coordinate system”** is disclosed by Applicant’s

Art Unit: 2123

Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”. Said coordination includes coordinate system transformations between CAD systems.

97. Applicant’s Admission does not expressly disclose the remaining limitations.

98. [1]-“**representing the design space and the design-space environment in the CAx system in a design-space coordinate system**” is disclosed by Foley page 660 “bounding volumes”, and page 664 “*Spacial partitioning* (also known as *spacial subdivision*) allows us to break down a large problem into a number of smaller ones. The basic approach is to assign objects or their projections to spacially coherent groups as a preprocessing step. For example, we can divide the projection plane with a regular 2D rectangular grid and determine in which grid spaces each object’s projection lies. Projections need to be compared for overlap with only those other projections that fall within their grid boxes... 3D grid... The process of determining which objects intersect with a projector can then be sped up by first determining which partitions the projector intersection, and then testing only the objects lying within those partitions (Sections 15.10).” Note Foley’s coordinate system at page 660 FIG 15.13, which shows the projections (transformations) of objects, and projections (transformations) of the “bounding boxes” of said objects. Foley’s transformation is from a 3D to a projected 2D coordinate system.

99. In claim 19, “**converting by the CAx system for the part to be designed data representing the design-space environment into a formatn usable by the CAx system if the data representing the preexising parts is received in a different format**” is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other” and page 1 line 15-26 “... an engine part is then designed in CAD system X, then the part is subsequently converted, using standard format STEP (Standard for the exchange of Product Model Data: international standard for a product data model, in accordance with ISO 10303) into the format of CAD system Y, and saved in a vehicle data base for collision testing. If discrepancies occur during collision testing, then the engine parts must be appropriately modified in CAD system X. This procedure may have to be repeated several times, until the

Art Unit: 2123

vehicle data base reveals that the newly-designed part can be properly inserted between the parts already present...”.

100. In claim 20, **“temporarily storing in the CAx system data for managing the parts of the design-space environment, the data for managing the parts of the design-space environment being accessible by the user”** is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”.
101. In claim 21, **“the data for managing the parts of the design-space environment includes data representing at least one of a part number, a version number and a designation”** is disclosed by Applicant’s Admission at specification page 1 line 9 “Numerous CAx systems such as CAD, CAE, or CAM systems... must be coordinated with each other”.
102. In claim 22, **“the at least one central data base includes a plurality of central data bases, the method further comprising the step of determining the design-space environment in accordance with a selected one of the central data bases”** is disclosed by Applicant’s Admission specification page 1 line 9-14 “Numerous CAx systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to use design systems, which include a plurality of CAx systems and a central data base connected to the CAx systems, since, in order to plan a design unit, several CAx systems are often used within a company, for various design areas that must be coordinated with each other”. See *In re Harza* (legal precedent for duplication), 274 F.2d 669, 124 USPQ 378, 380 (CCPA 1960) which states “It is well settled that the mere duplication of parts has no patentable significance unless a new and unexpected result is produced”. See MPEP 2144.04(VI)(B). In this claim, duplicating the part does not produce any new result and does not produce any unexpected result.
103. In claim 23, **“copying a finished part to the central data base, the finished part selectively representing a component of a subsequently defined design-space environment”** is disclosed by Applicant’s Admission at specification page 1 line 9-14 “Numerous CAx systems such as CAD, CAE, or CAM systems, which are used as CAD/CAE/CAM models for designing parts presently exist. Similarly, it is conventional to

Art Unit: 2123

use design systems, which include a plurality of CAx systems and a central data base connected to the CAx systems, since, in order to plan a design unit, several CAx systems are often used within a company, for various design areas that must be coordinated with each other”.

104. MOTIVATION FOR CLAIMS 12-23. At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to use Foley to modify Applicant's Admission. One of ordinary skill in the art would have been motivated to do this in order to “sped up” the intersection or collision calculations by “testing only the objects lying within those partitions” per Foley page 664. All objects lying outside of the partitions of interest (or “design spaces” using Applicant's terminology) are excluded from further consideration and calculations.

105. Note that Foley's “spacial partitions” from page 664 may also be used simultaneously with Foley's “bounding volumes” from page 660. In other words, the whole design universe may be subdivided into “spacial partitions” (fixed), and/or specific entities may be enclosed in “bounding volumes” (often mobile). Additionally, note that Applicant's Admission discloses “Numerous CAx systems”, and is interpreted broadly as disclosing standard CAD functions such as naming parts, positioning parts, defining relationships to other parts, storing data, displaying parts, and so forth to one of ordinary skill in the art.

#### ***Conclusion***

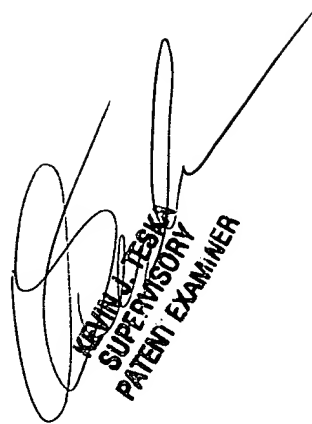
106. All pending claims stand rejected.

#### ***Communication***

107. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eduardo Garcia-Otero whose telephone number is 703-305-0857. The examiner can normally be reached on Tuesday through Friday from 9:00 AM to 8:00 PM. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Kevin Teska, can be reached at (703) 305-9704. The fax phone number for this group is 703-872-9306. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the group receptionist, whose telephone number is (703) 305-3900.

\* \* \* \*

Art Unit: 2123



KEVIN A. TESKE  
SUPERVISORY  
PATENT EXAMINER